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Received On: _____
Approved On: _____
Approved By: _____
Approval Signature: _____

INTRODUCING BROKER QUESTIONNAIRE

For Individuals and Legal Entities

REQUIRED DOCUMENTS

For All Types of Entities (including Individuals):

1. Copy of a valid proof of address clearly showing the business name and the same business address as used in this application.
 - a. Bank statement, or
 - b. Credit card statement, or
 - c. Landline telephone bill
2. Each Principal/Partner/Individual must submit:
 - a. A valid government-issued photo ID (passport or driver's license).

For Corporations:

1. Certificate of Incorporation
2. Articles of Organization for Corporation indicating authorized director(s) of the corporate entity.

For Partnerships:

1. Partnership Agreement
2. Documents confirming the formation of the Partnership:
 - a. Articles of Organization, or
 - b. Formation Receipt from a government authority, etc.

For Sole Proprietorship:

1. If the owner is operating under a name other than his own, he must submit a copy of his/her "Assumed Name Certificate".

IB QUESTIONNAIRE

1. Individual/Business Information

Entity Type: Individual Corporation Partnership Sole Proprietorship

First Name: _____ Middle Name: _____ Last Name: _____

Company: _____

Address 1: _____

Address 2: _____

City: _____ State/Province: _____ Postal Code: _____

Country: _____

Phone: _____ Fax: _____ Email: _____

Website: _____

Note: Please see the documentation requirements for each type of business entity on Page 1 and 2 of this agreement.

2. Existing IB Business

Nature of Business Trading proprietary accounts Attracting clients for self-trading
(choose all that apply): Managing accounts Raising money Other

If "Other", please explain: _____

How long have you been in your primary business? _____

Do you conduct any other business? Please specify: _____

Do you currently have forex IB-referred clients trading with another company? Yes No

If yes, please specify:

With which firm(s)? _____

Number of accounts: _____ Client accounts' combined equity: _____

Do you intend to trade a proprietary trading account with FXClearing? Yes No

Do you intend to manage the funds of the clients you refer to FXClearing? Yes No

3. Regulatory Information

Are you registered or licensed with any foreign government agency? Yes No

If yes, please specify:

Country: _____

Government Agency: _____ ID or License No.: _____

Have you or any members of your firm been convicted of violating anti-money laundering laws and/or regulations? Yes No

Have you or any member of your firm been convicted of violating security laws and regulations? Yes No

Are there any complaints lodged against you as an IB, or any of the members of your company, with any government agency? Yes No

If yes, please specify:

Filed with what agency? _____

In what country? _____

Date of complaint: _____

Nature of complaint: _____

Outcome of complaint: _____

4. Services Information

What types of services are you interested in introducing to your clients? White-label services Forex Education

Clearing for managed accounts Clearing for self-traders Other

If "Other", please explain: _____

What regions/countries/cities will you attract clients from? _____

5. Compensation Information

Do you intend to charge additional fees on your Introduced Clients' accounts? Yes No

If "Yes", please describe in detail: _____

6. Referral Information

How did you hear about FXClearing? Online Ad Seminar Search Engine
 Magazine Friend Introducing Agent

Were you referred to FXClearing by an Introducing Agent?

If yes, please enter their name: _____

7. Correctness of Information

I hereby represent that the information provided by me on all pages of this IB Questionnaire is true and correct. I further represent that I will notify FXClearing of any material changes in writing.

Principal/Designated Person Signature	Principal/Designated Person Signature
Print Name	Print Name
Date	Date

Note: We do not accept IBs from Canada.